



RISKCAP



Regulatory Reporting

Make your compliance stress free

RiskCap provides a comprehensive suite of regulatory tools and services that allows fund managers to meet their obligations in a timely and cost-effective manner. RiskCap's solutions can be used as part of its risk management services or on a standalone basis.



REGULATORY & COMPLIANCE

riskcap.com

About RiskCap

RiskCap International Ltd is an independent professional services firm dedicated to providing services encompassing the areas of **Governance, Risk Management and Compliance**.

We are committed to innovative thinking, best practice and a strong GRC culture to be at the forefront of our specialist areas and challenge our clients to think differently. We also provide a wide range of ancillary services in order for our clients to receive an all-inclusive service.



Our Regulatory Reporting Services

Complying with an expanding and complex web of regulatory reporting requirements has become an enormous operational burden and expense, particularly for firms that operate in multiple jurisdictions globally. RiskCap helps fund managers, global asset managers and financial services firms meet worldwide regulatory requirements that entail data aggregation, expert analysis, reporting and transparency. With our comprehensive suite of regulatory reporting tools and services, we free up your internal labor and IT resources to focus on productive activity, minimizing business disruption while meeting your regulatory obligations in a cost-effective and timely manner.

AIFMD – Annex IV

- < Alternative Investment Fund Managers Directive - EU;
- < Governs alternative investment funds sold to European investors.

CPO/PQR

Requires registered Commodity Pool Operators (CPOs) to report a wide range of data to the US Commodity Futures Trading Commission (CFTC) and the National Futures Association (NFA).

Form PF

- < US SEC reporting form required of private funds, including hedge funds and private equity funds;
- < Requires SEC-registered advisers with at least \$150 million in private fund assets to report.

OPERA

- < Open Protocol Enabling Risk Aggregation (OPERA) is a private sector initiative that allows investors to aggregate risk characteristics of funds in which they invest;
- < Covers asset exposure, counterparty risk, and investor breakdown.

EMIR

- < European Markets Infrastructure Regulation (EMIR);
- < Requires clearing of OTC derivatives with centralized clearing counterparties (CCPs) and reporting of all OTC and listed derivative transactions.

Key Benefits of Regulatory Reporting

- < Expertise, integrity and professionalism;
- < Tailored approach to suit your needs;
- < Market leading technology and systems.

Our services accommodate

- < Global macro, managed futures, commodity;
- < Long-only equity/fixed income;
- < Long-short equity;
- < Fund of Funds;
- < PE, RE and NPLs;
- < Digital asset/crypto.

Malta

Airways House, Gaiety Lane
SLM 1549, Sliema
T: +356 2733 3179
E: mt.enquiries@riskcap.com

United Kingdom

13, Craven Street
WC2N 5PB, London
T: +44(0) 207 812 7351
E: uk.enquiries@riskcap.com

www.riskcap.com



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