



RISKCAP



# Know your market with Financial Crime Compliance

Increased regulatory focus and the implementation of aggressive international sanctions makes financial crime compliance more important than ever before. We bring unrivalled experience to offer a risk based, cost effective solution that can be tailored to different financial institutions.



REGULATORY & COMPLIANCE

[riskcap.com](https://riskcap.com)

## About RiskCap

RiskCap International Ltd is an independent professional services firm dedicated to providing services encompassing the areas of **Governance, Risk Management and Compliance**.

We are committed to innovative thinking, best practice and a strong GRC culture to be at the forefront of our specialist areas and challenge our clients to think differently. We also provide a wide range of ancillary services in order for our clients to receive an all-inclusive service.



## Our Financial Crime Compliance Services

Compliance with global anti-money laundering (AML) standards continues to be a key issue for the industry. Increased regulatory focus and the implementation of aggressive international sanctions makes financial crime compliance even more important for firms that establish structures which are subject to local AML legislation in the jurisdictions where they are domiciled.

We offer a risk based, cost effective solution that can be tailored to individual requirements and includes:

- < Developing and assessing AML and risk management systems;
- < Providing a qualified, named AML professional to act as money laundering reporting officer (MLRO), deputy MLRO or AML compliance officer;
- < Managing the suspicious activity reporting process;
- < Know Your Customer (KYC) due diligence and customer due diligence best practices;
- < KYC information verification and screening services;
- < Acting as an ongoing liaison with local regulators, competent authorities or other regulatory or investigative agencies;
- < Assisting with business risk assessment and risk evaluation questionnaire;
- < Delivering AML training; and
- < Providing an annual AML inspection that is designed to test and evaluate an internal AML programme and related controls.

**We provide tailored financial crime compliance solutions to clients in the financial services industry. We recognize that the financial crime compliance function plays a critical role in ensuring proper risk-management within the context of the client's business. Our team of dedicated compliance professionals has immense experience in providing practical and commercially focused financial crime compliance support, delivered with the excellence and integrity.**

### Key Benefits

- < Reduce regulatory and legal risk;
- < Integration with client business model;
- < Reduced cost of compliance.

### Our services accommodate

- < Investment managers and funds;
- < EMIs and PIs;
- < Credit institutions;
- < Investment firms;
- < Insurance firms.

#### Malta

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